

1 **COMPARISON OF MACHINE LEARNING ALGORITHMS TO DETERMINE**  
2 **TRAFFIC CONGESTION FROM CAMERA IMAGES**

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## 1 **ABSTRACT**

2 For a country like United States where drivers spend \$1200 per year on traffic jams, congestion  
3 detection is a subject of prime importance. In the present study images are obtained from CCTV  
4 cameras installed at different locations in the state of Iowa and five state-of-the-art shallow  
5 algorithms are explored to identify congestion. Features are extracted from the images using the  
6 OpenCV packages (Ski-Thomasi, ORB, and findcontours) and structured edge toolbox. The  
7 images are then segregated into test and training sets followed by combination of features using  
8 the training set. The two best performing ensembles are found to be OS (ORB and Ski-Thomasi)  
9 and OSS (ORB, Ski-Thomasi and Structured Edge Toolbox). The algorithms (k-NN, random  
10 forest and SVM) with variable parameters are applied on the training set to tune up the  
11 parameters. After that all the five algorithms - Naïve Bayes, k-NN, decision tree, random forest  
12 and SVM are applied on the test set. The results show that SVM has the highest f1-score of  
13 86.73%. A sensitivity analysis is computed using receiver operating characteristic curve under  
14 time of day and camera conditions. It is seen that presence of glare or other inhibitions in the  
15 camera during the daytime leads to some misclassification of the traffic state. The results are  
16 within 5% of that obtained by deep learning algorithms. To conclude, the paper provides an  
17 option for a consumer to invest 60\$ on a shallow algorithm and achieve an accuracy of 86.73%  
18 or to take up expensive deep model to improve the accuracy.

19

20 **Keywords:** *Congestion detection, image processing, machine learning*

## 1 INTRODUCTION

2 Traffic congestion has been defined by US Department of Transportation (USDOT) as "one of the  
3 single largest threats" to the economic prosperity of the nation (1). As of 2016, traffic jams cost  
4 nearly \$1200 per year for a driver in United States in terms of fuel and time (2). A major contributor  
5 to this congestion are traffic incidents. Schrank and Lomax (3) showed that implementation of  
6 improved incident management procedures in 272 out of 439 urban areas resulted in reduction of  
7 143.3 million hours and \$3.06 million of incident-related congestion. For a country with five of  
8 the top10 worst congested cities in world (2), traffic congestion detection and analysis has become  
9 the topic of prime importance.

10 Traffic state detection is conventionally done using point based sensors, which include  
11 microwave radars (4). Researchers have also developed mechanisms to detect congestion by  
12 comparing the measures from inductive loops across different locations, or between different  
13 times at one single location (5, 6). With the increasing usage of navigation based GPS devices,  
14 probe-based data have also come up for speed estimation of segments of road and hence thereby  
15 detect congestion (7). With the recent advancements in image processing techniques and the  
16 availability of these packages on the different platforms like Matlab, python (8, 9, 10) and so on,  
17 has improved the vision-based detection accuracy. Apart from the evolution of image processing,  
18 numerous supervised classification algorithms have come up in the recent years, many of which  
19 has already been used to identify the traffic state detection (11).

20 High traffic density means increased traffic on a road and is characterized by slower  
21 speeds, longer travel times, and increased vehicular queuing. Hosne-Al-Walid (12) proposed a  
22 technique to measure traffic density using Support Vector Machine (SVM) approach. It takes the  
23 image of current state of traffic on a road. Passing the image through the proposed system to  
24 detect traffic density and finally using SVM to classify data. Efficiency of their proposed  
25 method is 60%. Methods like Hidden Markov Models have also been used in the previous work  
26 where they have used a region of interest to find out traffic density (13). Density, an indirect  
27 measure of traffic congestion have also been identified using camera as a virtual loop detector  
28 (14). In this study the authors used an online-SVM to come up with an accuracy of 89.43% under  
29 different illumination conditions. In a similar type of work, an accuracy of 95% is achieved for  
30 different type of brightness and weather conditions during daytime (15). Here a boosted SVM is  
31 applied to achieve the result.

32 All these works have some limitation or the other in terms of the image illumination or  
33 camera positions they used. Generally, state Department of Transportation (DOT) installs  
34 cameras in freeways and arterials for surveillance tasks. They play important role in identifying  
35 incident detection. In 2016, approximately 22.5% (572) of the incidents have been detected using  
36 these cameras in the state of Iowa. These cameras are used by traffic incident managers (TIMs)  
37 who can zoom, tilt and pan the cameras as per their need. Hence, using cameras for congestion  
38 detection in reality involves the additional challenges due to their frequent movement which can  
39 alter the default calibrations. Thus, algorithms should not rely on the exact placement of cameras  
40 and should be able to accurately detect traffic condition for different placement scenarios.

41 With the introduction of graphics process unit (GPU), deep neural network has clearly  
42 gained an edge over the machine learning algorithms. However, when it comes to the cost of  
43 implementing, a machine learning algorithm can be implemented in a palm sized Raspberry pi2  
44 which costs around 60\$ whereas the cost of GPU is 500\$ per stream. Considering the cost of  
45 implementation, this work aims to identify some of the best performing shallow supervised

1 classification algorithms to detect traffic congestion states from traffic camera images  
2 irrespective of the location, orientation and weather condition.

3 The paper is broadly divided into four sections. The following section describes about the  
4 data and its processing technique. This is followed by the proposed approach being adopted to  
5 detect congestion. It is followed by detailed results and discussion and finally the conclusion.

## 6 **DATA PROCESSING**

7 This section deals in an elaboration of the data description and the preprocessing of the same.

### 8 **Data Description**

9 The dataset is procured from two sources – camera images and sensors spread across the  
10 highways and the interstates of entire state of Iowa especially near the major cities of Des  
11 Moines, Sioux City, Council Bluffs, Cedar Rapids, Davenport and Iowa City.

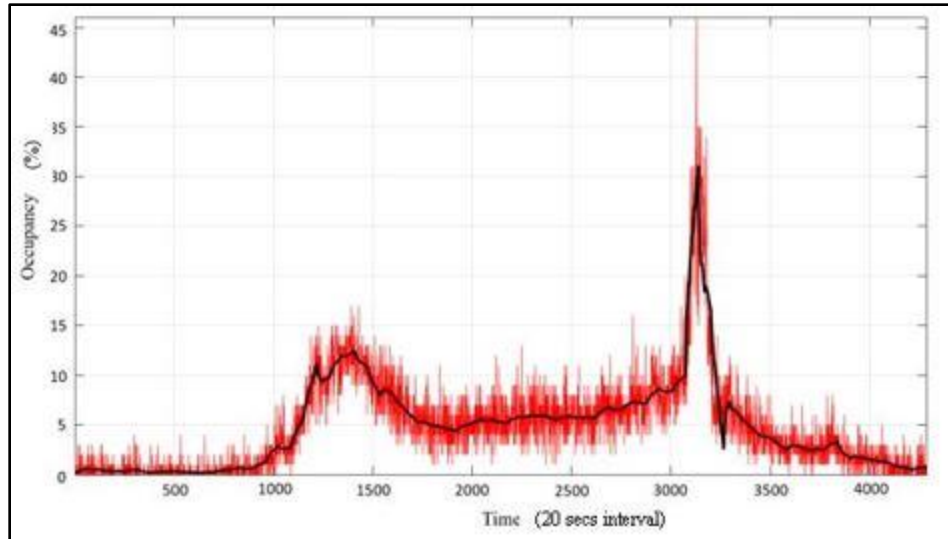
12 Images from 99 CCTV cameras are extracted at 5 minutes interval from October 2016 to  
13 March 2017. Out of 4 million extracted images, 500,000 are discarded due to faultiness in their  
14 position and the remaining are used for further analysis.

15 The sensor data are available at 20secs interval from each lane. Sensors which are placed  
16 at 0.5 miles within the cameras are selected for the analysis. The data size of the same is nearly  
17 500 MB/day and is available in a high performance computing system. To procure it and  
18 aggregate it at an interval of five minutes, a map-reduce code is constructed using Apache pig  
19 (16, 17).

20 The images so obtained have both the directions, of which the maximum occupancy is  
21 selected for classification. Now as suggested in (18) an occupancy of 20% or more is considered  
22 as a congested whereas an occupancy below that is considered an uncongested. Using this  
23 knowledge the images are segmented into two classes. However, being highways and freeways  
24 in Iowa, there is huge class imbalance between congested and uncongested images. Only 1200  
25 images are found to be in congested condition. For this reason the rest of the work is carried out  
26 using 1200 images randomly from both the classes to prepare a balanced training set. Out of  
27 these 2400 images, 1000 images are kept aside for testing. Another 17 more images classified as  
28 congested are added from location outside the state of Iowa to see how the classification  
29 algorithm works. On inspection of these 1017 images 27 of them are found to be misclassified,  
30 probably due to sensor error, so they are relabeled. It is to be noted that the experiment is  
31 implemented using Windows 7 with Intel Core i7-4790 CPU with 8GB of RAM.

### 32 **Data Preprocessing**

33 The sensor data is aggregated to five minute interval and smoothed prior to further processing.  
34 Wavelet transform is used for the same to remove the noise and outliers. An example of wavelet  
35 transform for a day from a sensor on I-235 near Des Moines at Kep Way is shown in Figure 1  
36 below. There are several families of wavelets such as Haar, Daubechies, Biorthogonal, Symlets,  
37 Coiflets, Morlet, Mexican Hat, Meyer, and so on. Daubechies extremal phase wavelets transform  
38 is used in this analysis. Daubechies family wavelets are also called “dbN” which N refers to the  
39 number of vanishing moments. The higher N, the longer the wavelet filter and smoother the  
40 wavelet. Based on the data, db2 with level 6 was used for smoothing curve-like filter which  
41 follows most of variations of original signal.



1  
2 **FIGURE 1 Smoothing of occupancy using db2 at level 6 method of wavelet transform.**

### 3 **PROPOSED METHODOLOGY**

4 This section deals in mainly two parts - feature extraction which deals in the relevant algorithms  
5 used for extracting features and the supervised learning algorithms which use these extracted  
6 features to detect traffic congestion.

#### 7 **Feature Extraction**

8 To extract the relevant features from the images, some of the popular inbuilt algorithms of  
9 OpenCV library in python and an edge detector toolbox implementation are explored. A brief  
10 description of each one of them is given below.

##### 11 *Ski-Thomasi Corner Detection*

12 The Ski and Thomasi corner detection algorithm which identifies the corner points based on the  
13 size of the smaller eigenvalue of the tracking matrix (19). If this value is less than a certain  
14 threshold value, a point is determined as a corner point. An example of the implementation of  
15 this algorithm is shown in Figure 2(a).

##### 16 *Oriented FAST and Rotated BRIEF (ORB)*

17 The ORB algorithm is another implementation developed in (10) to find out the corner points in  
18 an image. This algorithm extracts the keypoints using the FAST algorithm and then finds out the  
19 top N points amongst them using the Harris corner distance. Unlike the Harris corner distance  
20 alone, this has a modification which makes it robust to rotation. An example of this feature  
21 extraction is shown in Figure 2(b).

##### 22 *Find Contours*

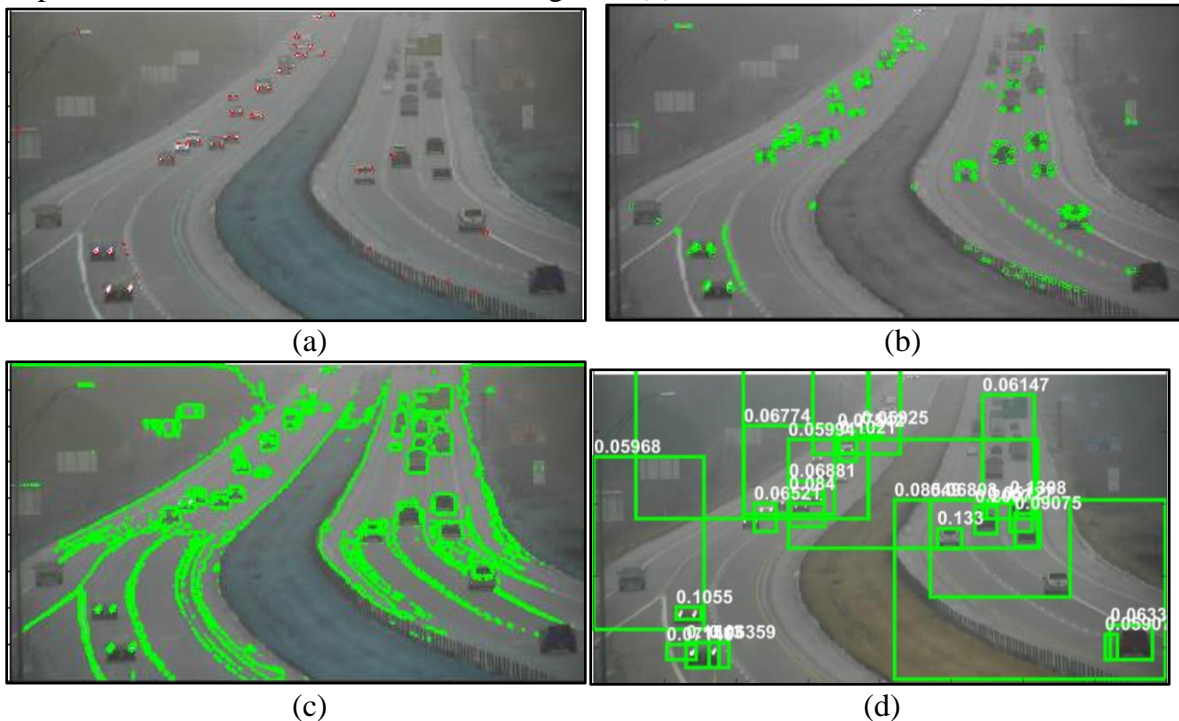
23 The findcontours algorithm of the OpenCV class extracts the location of change of contours of a  
24 binary image (18). An image consists of two types of connected component - l-pixels (l-

1 component) and a connected component of O-pixels (background or hole). The algorithm detects  
 2 all such 1-component and hole-component points of an image and returns the one which are  
 3 called for. In this case all the contour points whether they are 1s or holes are summoned as it is  
 4 assumed that the number of contour points will be related to the occupancy. An example of the  
 5 contour points is shown in Figure 2(c).

6 *Structured Edge Toolbox*

7 The structured edge toolbox is a commercially licensed product available using the MATLAB  
 8 image processing toolbox that proposes objects in an image (21). The presence of an object is  
 9 determined as the probability of objectness that a bounding box to encompass the total number of  
 10 edges wholly. The scoring function is a weighted sum of the edge strengths within a box minus  
 11 those which are a part of the contour that grazes along its boundary. Objects or desired  
 12 dimension can be detected by altering the objectness score and size of the sliding windows. An  
 13 implementation of the same is shown in Figure 2 (d).

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18 **FIGURE 2** Camera image showing the a) corners detected by Ski-Thomasi algorithm, b)  
 19 corners detected by ORB algorithm, c) corners detected by ORB algorithm, and d) objects  
 20 detected by the Structured Edge Toolbox.

21 **Supervised Classification Algorithms**

22 Now after extraction of the features from the image, the next step is to use a suitable algorithm  
 23 and classify the traffic state correctly. As the data to be used here is a labelled one, so the method  
 24 used for classification is based upon the supervised learning algorithm. This section briefly  
 25 describes the supervised algorithms that have been used for classification of traffic states.

## 1 *Naïve Bayes*

2 Naive-Bayes classification uses the Bayes theorem to classify and predict labels for the data. The  
3 assigning is based upon the maximum estimated posterior probability to the feature vector. It  
4 considers each feature to be independent of one another given its original class.

5 The main advantage of this method is that it requires a small amount of data for training  
6 purpose. However the major drawback is the low classification performance as compared to the  
7 other discriminative algorithms (22).

## 8 *k-Nearest Neighbor*

9 The k-Nearest Neighbor is a non-parametric method of classification which assigns label to a  
10 point in a feature space by considering its 'k' nearest neighbors. The nearest point in the feature  
11 vector space is defined by various metrics like Euclidean, cityblock, Manhattan, minowski and  
12 so on. Another variable feature is the number of nearest neighbor which can range from 2 to the  
13 sample size itself. The main advantages of kNN include rapid implementation and simplicity in  
14 using. The difficulty comes in determining the metrics as a small 'k' produces chaotic  
15 boundaries whereas a large k hides out the details.

## 16 *Decision Tree Classifier*

17 Another non-parametric method of machine learning that predicts the value (called leaves of a  
18 tree) from a set of features (called branches which lead to these leaves) is the Decision Tree  
19 classifier. The tree is learned by segregating the sources set into a subset of attribute set which is  
20 further divided into another subset in a recursive manner. The main advantage of this method is  
21 the visualization part. The tree structure can be easily interpreted. However, the main drawback  
22 is they often lead to overfitting.

## 23 *Random Forest Classifier*

24 The Random Forest Classifier is a method of ensemble learning which solves the problem of  
25 overfitting of the decision tree classifier. This method of classification starts with a random  
26 bagging of the features and then decision tree is applied to such bags. After accumulating all  
27 group of these decision trees, the random forest prediction is obtained. Apart from taking a little  
28 bit more time to run than the decision tree the random forest classifier works fairly well enough.

## 29 *Support Vector Machines*

30 Support vector machines categorizes data into different classes by solving a constrained  
31 quadratic optimization problem (23). The result so obtained is an optimal hyperplane. The  
32 'optimal' hyperplane is determined by maximizing the largest minimum distance to the training  
33 examples as this one will be the one least sensitive to noise. The main parameter which the SVM  
34 depends on is the kernel size, varying which the accuracy can be altered. SVM is one of the most  
35 widely used shallow algorithms till date and has been often compared to the accuracy of the deep  
36 neural networks.

## 1 RESULTS AND DISCUSSION

2 The section is subdivided into three parts – feature selection and parameter determination,  
3 experimental results with analysis and the sensitivity analysis with the discussion.

### 4 Feature selection and parameter estimation

5 For evaluation of any algorithm used in this part and for the remaining analysis, the ratio of  
6 average to total f1-score, referred to as the f1-score, is used as the performance measure. The  
7 details are shown in equation 4. The f1-score is defined in equation 3 where the precision and the  
8 recall values are described in equations 1 and 2 respectively. If congestion is taken as the class to  
9 be determined, True Positive (TP) represents an image which was labeled congested and  
10 identified as congested, False Positive (FP) as an image which is labeled uncongested but  
11 considered as congested and False Negative (FN) as an image which is labeled as congested but  
12 is classified as uncongested.

$$13 \quad recall = \frac{TP}{TP+FN} \quad (1)$$

$$14 \quad precision = \frac{TP}{TP+FP} \quad (2)$$

$$15 \quad f1 - score = 2 * (precision * \frac{recall}{precision+recall}) \quad (3)$$

$$16 \quad average\ to\ total\ f1 - score = \frac{\sum_{i=1}^2 (f1-score\ for\ class\ i * f1-score\ for\ support\ of\ class\ i)}{\sum Total\ images} \quad (4)$$

17

18

19 The training set is taken up and 10-fold cross-validation using standard Support Vector  
20 Machine with the penalty parameter of 1.0, kernel of ‘rbf’ is run to determine which feature  
21 combination works out best. SVM is chosen as it is seen that most of the works conducted before  
22 have used SVM for classification (16). First the algorithm is run on using a single feature as  
23 shown in Table 1. From this it is clear that the ORB feature gives the highest accuracy followed  
24 by structured edge toolbox. The findcontours gives the least accuracy so it is dropped for the rest  
25 of the analysis. The rest of the features are combined as shown in Table 2. From this it can be  
26 concluded that the combination of ORB, Ski-Thomasi works out to be the best followed by the  
27 ORB, Ski-Thomasi and structured edge toolbox. The rest of the analysis are done using these  
28 two feature vectors (OS and OSS) only.

29 **TABLE 1 Average f1-score for SVM - one feature extractor at a time.**

Base Feature	Average f1-score
Ski-Thomasi	78.28
ORB	<b>86.59</b>
findcontours	70.09
Structured edge detection toolbox	82.36

30 **TABLE 2 Average f1-score for SVM – different combination of features.**

Feature Combinations	Average f1-score
Ski-Thomasi, ORB	<b>88.28</b>
ORB, Structured edge detection toolbox	86.62
Ski-Thomasi, Structured edge detection toolbox	79.73
ORB, Ski-Thomasi, Structured edge detection toolbox	<b>88.08</b>



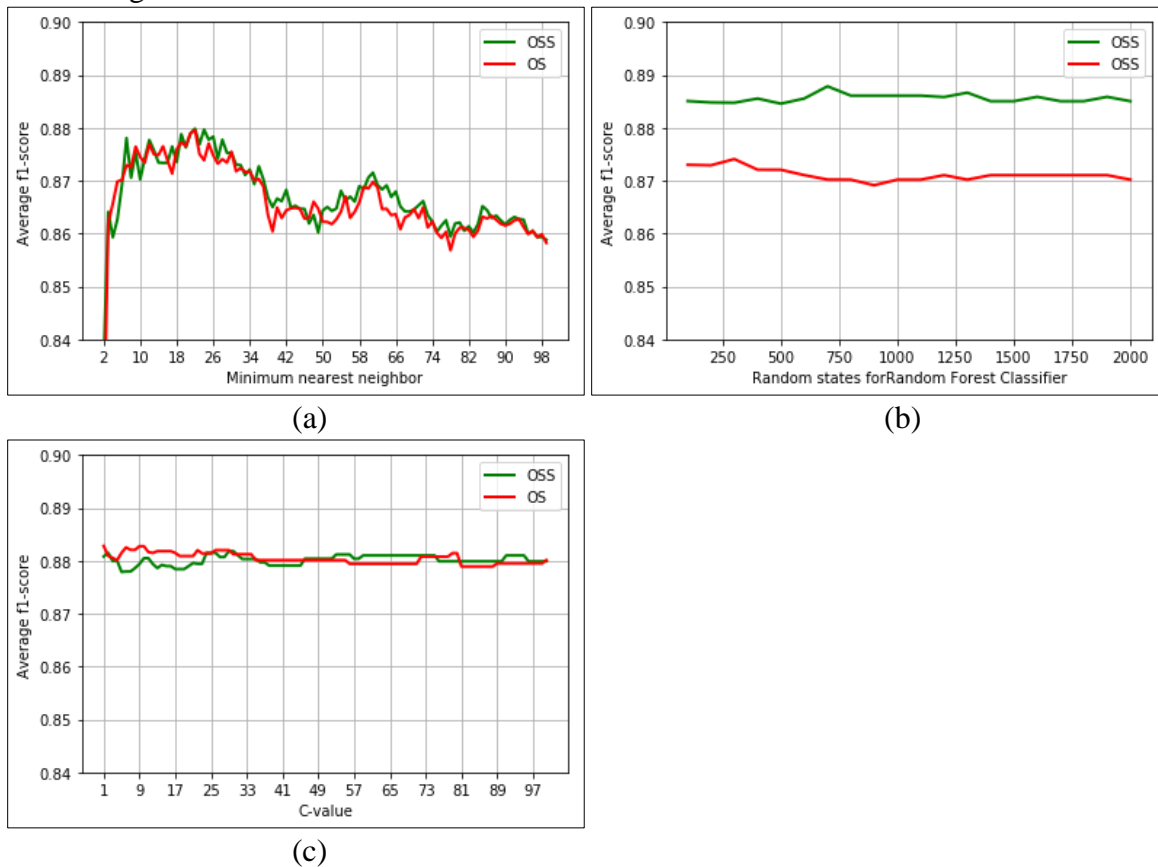
1 **Experimental Results and Analysis**

2 The feature vectors so selected are run on the rest of the algorithms and the parameters are tuned  
 3 to optimize the maximum f1-score. 10-fold cross-validation is used for this purpose. The final  
 4 model obtained from each algorithm is applied on the test set.

5 In case of kNN classification the number of nearest neighbor is changed in steps of 1 and  
 6 the variation of average f1-score is shown in Figure 3(a). The maximum average f1-score  
 7 obtained for OS and OSS are 87.96% and 87.98% respectively with 22 nearest neighbors.

8 For Random Forest Classifier the number of trees in the forest is the parameter of  
 9 interest, so it is run for different combinations of trees as shown in Figure 3(b). The f1-score is  
 10 hardly changing, however, the highest score achieved with for OS and OSS are 87.40% with 300  
 11 number of trees and 88.78% with 700 number of trees respectively.

12 For SVM there are two parameters which can be tuned – the C-value which optimizes the  
 13 distance of the hyperplane from the data points and the kernel shape. First the kernel is varied for  
 14 different types as shown in Table 3. This yields ‘rbf’ as the best performing one. This is followed  
 15 by changing the C-value which maximizes the average f1-score at C=1 for OS and at C = 30 for  
 16 OSS and as shown in Figure 3(c). It is also noticed that there is very little change in the f1-score  
 17 with change of C-value.



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 22 **FIGURE 3** Variation of average f1-score with a) varying nearest neighbor values, b)  
 23 varying number of number of trees for random forest classifier, and c) C-value for SVM  
 24  
 25  
 26

1 **TABLE 3 Average f1-score for SVM for different kernel shapes.**

Type of kernel	Average f1-score for OS	Average f1-score for OSS
Polynomial	87.18	86.93
Linear	86.97	85.73
RBF	<b>88.28</b>	<b>88.08</b>
Sigmoid	43.38	43.30

2 Now these models are run on the test set. The results of different algorithms are shown in  
 3 Table 4. From this it can be concluded that the OSS performs better in all the cases as compared  
 4 to OS. The highest f1-score of 86.73% is obtained using SVM followed by 86.53% by random  
 5 forest.  
 6

7 **TABLE 4 Average f1-score for different models on the test set images.**

Model Algorithm	f1-score for OS	f1-score for OSS
Naïve Bayes Classifier	71.05	76.08
kNN Classifier	85.16	85.45
Decision Tree Classifier	82.21	82.70
Random Forest Classifier	84.47	86.53
Support Vector Machines	85.35	<b>86.73</b>

8 The result of the SVM is then taken up for evaluation of the performance metrics described here  
 9 and for the sensitivity analysis after that. The details of precision, recall and f1-score is shown in  
 10 Table 5 and confusion matrix is shown in Table 6. Figure 4 shows representative example of  
 11 each group of classification. It can be seen from Figure 4 and rest of the FN images that the  
 12 medium or low quantity of snow happens to be a major issue for an image to be classified as  
 13 ‘congested’ whereas actually they are not. This is justified as the algorithm identifies them as  
 14 corners in most cases. For FP images it is seen that the glare of the sun is a serious issue and  
 15 images are most often misclassified as ‘uncongested’ state even when they are ‘congested’. In  
 16 order to find out the cause of misclassification, sensitivity analysis is performed.  
 17

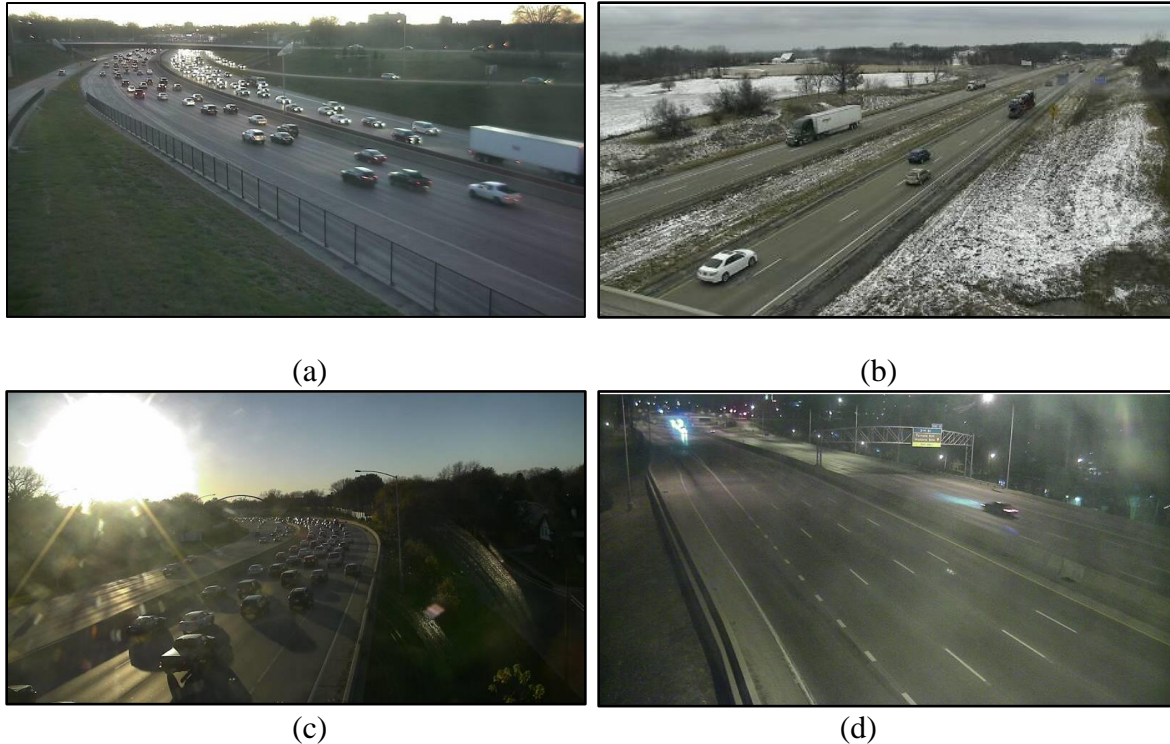
18 **TABLE 5 Performance metrics for SVM using OSS.**

Traffic State	Performance metrics			Support
	f1-score for OSS			
	Precision	Recall	f1-score	
Congested	84.54	88.52	86.49	529
Uncongested	88.93	85.07	86.96	488
<b>Average/total</b>	86.83	86.73	86.73	

19

20 **TABLE 6 Confusion matrix for SVM using OSS.**

	Predicted Uncongested	Predicted Congested
Actual uncongested	450	79
Actual congested	56	432



**FIGURE 4** Examples of congestion detection (a) True Positive, (b) False Negative, (c) False Positive, and (d) True Negative

### Sensitivity Analysis

This part describes the influence of the weather and environmental conditions and camera configurations on the congestion detection results. The sensitivity of the proposed system to two different conditions are assessed here. First one is the influence of the time of the day – day and night period and the second one is the camera resolution – presence of snow, rain, glare or blurred images (poor or good condition). To evaluate this system, the test dataset is divided into 4 subgroups according to the combination of the factors. These are:-

- Night under poor condition.
- Day under poor condition.
- Night under good condition.
- Day under good condition.

Receiver Operating Characteristics (ROC) curves are plotted for evaluating the performance of congestion detection. A ROC is a plot of True Positive rate with a False Positive rate (24). The True Positive and False Positive rates are described in equations 5 and 6 respectively where the TP, FN, FP and TN are all described before.

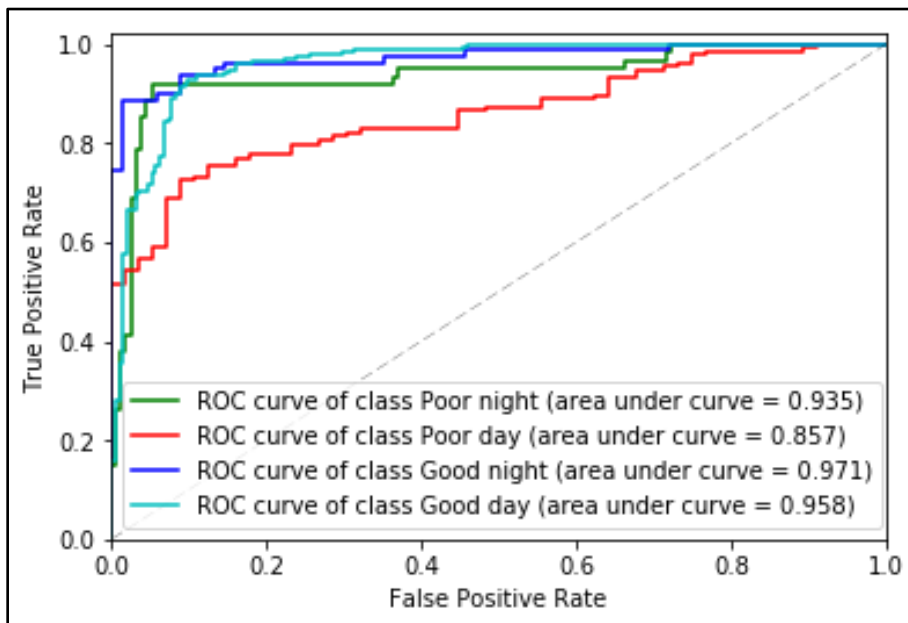
$$TPr = TP / (TP + FN) \quad (5)$$

$$FPr = FP / (FP + TN) \quad (6)$$

A good detection rate is based upon the area under the curve. For a poorly developed system, the true positive and the false positive rates become directly proportional to one another whereas for a well-developed system, the true positive rate is higher than the false positive one.

1 Figure 5 describes the true positive and the false positive rate for different classes. The area  
 2 under the curves are also provided in each case. All the curves have the true positive rates higher  
 3 than the false positive rates irrespective of the prevailing condition. Nights are seen to perform  
 4 better than the day irrespective of the camera condition. Daytime with poor condition is the worst  
 5 performing one which is quite understandable as there are quite a few of images with glare where  
 6 the algorithm fails almost every other time. As the area under the curves is greater than 0.9 in  
 7 most cases so the algorithm works great in most cases.

8



9

10 **FIGURE 5 Receiver Operating Characteristic curves for different classes.**

## 11 SUMMARY AND CONCLUSIONS

12 With the recent developments in image processing and machine learning algorithms, image  
 13 analytics have improved to a great extent. This paper delivers a comparative study of five such  
 14 state-of-the-art algorithms to come up with congestion detection irrespective of the camera  
 15 location.

16 Traffic images are procured from CCTV camera images located all over the state of  
 17 Iowa. They are then labelled as congested or uncongested using smoothed occupancy data  
 18 collected from nearby sensors. Features are extracted from the images and algorithms are applied  
 19 on them to find out that SVM works out to be the best one with an f1-score of 86.73% followed  
 20 by random forest classifier with 86.53%. To find the reason for the misclassification, error  
 21 analysis are performed. It is found that glare, rain, snow or blurred images during the morning  
 22 time are the worst and greatly affects the efficiency of the algorithm. For other cases it gives a  
 23 very high area under the curve value which shows that the algorithm is robust in most cases  
 24 especially during the night time.

25 To conclude, this paper provides an option for the consumer where they can either invest  
 26 a small amount, achieve a relatively good accuracy with a shallow model or they can spend  
 27 almost 10 times more to carry out deep learning models for improving the accuracy.

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